Case No. 08-13555 (SCC) LEHMAN BROTHERS HOLDINGS, INC., et al. (Jointly Administered) Debtors. Ref. Docket Nos. 52575 - 52578, 52580, 52582, 52583, 52587, and 52588 52587 and 52588 S2587 and 5	UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK		
Debtors. Clointly Administered Ref. Docket Nos. 52575 –		: Chapter 11	
STATE OF NEW YORK) ss.: COUNTY OF NEW YORK) ss.: COUNTY OF NEW YORK) FORREST KUFFER, being duly sworn, deposes and says: 1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action. 2. On April 27, 2016, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated April 27, 2016, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B. 3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT." St. Forrest Kuffer		: Ref. Docket Nos. 52575 – : 52578, 52580, 52582, 52583, : 52587 and 52588 :	
FORREST KUFFER, being duly sworn, deposes and says: 1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action. 2. On April 27, 2016, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated April 27, 2016, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B. 3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT." Sk Forrest Kuffer Forrest Kuffer	AFFIDAVIT OF SI		
 I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action. On April 27, 2016, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated April 27, 2016, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.") ss.:		
Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action. 2. On April 27, 2016, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated April 27, 2016, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B. 3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT." Style="text-align: center;">(s/Forrest Kuffer Forrest Kuffer Forrest	FORREST KUFFER, being duly sworn, deposes and says:		
to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated April 27, 2016, a sample of which is annexed hereto as Exhibit A , by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B . 3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT." \[\begin{align*} \frac{\s/s}{Forrest Kuffer} \\ \text{Forrest Kuffer} \end{align*} \] Sworn to before me this \(28^{th}\) day of April, 2016 \(\s/s\)/Sidney J. Garabato \end{align*} \text{Notary Public, State of New York} \text{No. 01GA6218946} \text{Qualified in New York County} \end{align*}	Third Avenue, New York, New York 10017. I am ove	kruptcy Solutions, LLC, located at 777 or the age of eighteen years and am not	
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT." Sk Forrest Kuffer	to Federal Rule of Bankruptcy Procedure 3001(e)(2) or of which is annexed hereto as <u>Exhibit A</u> , by causing tru securely in separate postage pre-paid envelopes and deli	r (4)," dated April 27, 2016, a sample and correct copies to be enclosed	
Sworn to before me this 28 th day of April, 2016 /s/ Sidney J. Garabato Notary Public, State of New York No. 01GA6218946 Qualified in New York County	"LEGAL DOCUMENTS ENCLOSED. PLEASE DIR	ECT TO THE ATTENTION OF	
28 th day of April, 2016 /s/ Sidney J. Garabato Notary Public, State of New York No. 01GA6218946 Qualified in New York County			
No. 01GA6218946 Qualified in New York County	28 th day of April, 2016 /s/ Sidney J. Garabato		
	•		

EXHIBIT A

UNITED STAPES 13555 TUP OCY COOR 52660 Southern District of New York

Filed 04/29/16 Entered 04/29/16 17:31:05 Main Document Pq 3 of 7

In re

Chapter 11 Case No.

LEHMAN BROTHERS HOLDINGS INC., et al.,

08-13555 (SCC)

Debtors.

(Jointly Administered)

NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF BANKRUPTCY PROCEDURE 3001(e)(2) or (4)

transferor refers to the claimant who is selling or otherwise assigning its claim. While Note: For Purposes of this form, transferee refers to the party who is purchasing or othewise being assigned the claim.

To:

BAR(23) MAILID *** 000104888006 ***

LBH TRFNTC (ADDRESS2, ADRKEYID3) 22479



PAUL WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: DOUGLAS R. DAVIS 1285 AVENUE OF THE AMERICAS NEW YORK, NY 10019

BANK HAPOALIM (SWITZERLAND), LTD. ATTN: BRIGITTE FOTSCH & RUDOLF BRUNNER STOCKERSTRASSE 33 ZURICH CH-8002 SWITZERLAND

Please note that your claim # 55855-68 in the above referenced case and in the amount of \$100,000.00 allowed at \$100,000.00 has been transferred (unless previously expunged by court order)

DEUTSCHE BANK AG, LONDON BRANCH TRANSFEROR: BANK HAPOALIM (SWITZERLAND), LTD. ATTN: MICHAEL SUTTON WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET LONDON EC2N 2DB UNITED KINGDOM

No action is required if you do not object to the transfer of your claim. However IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

UNITED STATES BANKRUPTCY COURT

Southern District of New York

One Bowling Green

New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 52578 in your objection. If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEREE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 04/27/2016 Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on April 27, 2016.

EXHIBIT B

08-13555-mg Doc 52660 LEHMAN BROTHERS HOLDINGS INC. Filed 04/29/16, Entered 04/29/16 17:31:05 Main Document

Claim Name	Address Information
BANK HAPOALIM (SWITZERLAND), LTD.	ATTN: BRIGITTE FOTSCH & RUDOLF BRUNNER, STOCKERSTRASSE 33, ZURICH CH-8002
	SWITZERLAND
BANK HAPOALIM (SWITZERLAND), LTD.	PAUL WEISS, RIFKIND, WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285
	AVENUE OF THE AMERICAS, NEW YORK, NY 10019
BANQUE PICTET & CIE SA	TRANSFEROR: UBS AG, ATTN: DAVID AESCHLIMANN, ROUTE DES ACACIAS 60, GENEVA 73 1211 SWITZERLAND
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: CREDIT SUISSE SECURITIES (USA) LLC, C/O DAVIDSON KEMPNER CAPITAL
	MANAGEMENT, ATTN: JENNIFER DONOVAN; 520 MADISON AVENUE, 30TH FLOOR, NEW YORK 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: CREDIT SUISSE SECURITIES (USA) LLC, C/O DAVIDSON KEMPNER CAPITAL
	MANAGEMENT, ATTN: JENNIFER DONOVAN; 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: CREDIT SUISSE SECURITIES (USA) LLC, C/O DAVIDSON KEMPNER CAPITAL
	MANAGEMENT, ATTN: JENNIFER DONOVAN; 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: CREDIT SUISSE SECURITIES (USA) LLC, C/O DAVIDSON KEMPNER CAPITAL
	MANAGEMENT, ATTN: JENNIFER DONOVAN; 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: CREDIT SUISSE SECURITIES (USA) LLC, C/O DAVIDSON KEMPNER CAPITAL
	MANAGEMENT, ATTN: JENNIFER DONOVAN; 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: CREDIT SUISSE SECURITIES (USA) LLC, C/O DAVIDSON KEMPNER CAPITAL
	MANAGEMENT, ATTN: JENNIFER DONOVAN; 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: CREDIT SUISSE SECURITIESUSA, LLC, C/O DAVIDSON KEMPNER CAPITAL
	MANAGEMENT, ATTN: JENNIFER DONOVAN; 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: CREDIT SUISSE SECURITIESUSA, LLC, C/O DAVIDSON KEMPNER CAPITAL
	MANAGEMENT, ATTN: JENNIFER DONOVAN; 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: CREDIT SUISSE SECURITIESUSA, LLC, C/O DAVIDSON KEMPNER CAPITAL
	MANAGEMENT, ATTN: JENNIFER DONOVAN; 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: CREDIT SUISSE SECURITIESUSA, LLC, C/O DAVIDSON KEMPNER CAPITAL
	MANAGEMENT, ATTN: JENNIFER DONOVAN; 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: CREDIT SUISSE SECURITIESUSA, LLC, C/O DAVIDSON KEMPNER CAPITAL
	MANAGEMENT, ATTN: JENNIFER DONOVAN; 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: CREDIT SUISSE SECURITIESUSA, LLC, C/O DAVIDSON KEMPNER CAPITAL
	MANAGEMENT, ATTN: JENNIFER DONOVAN; 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
CITIBANK PRIVATKUNDEN AG & CO. KGAA	ATTN: WILHELM HUELSKEN, KASERNENSTRASSE 10, DUSSELDORF 40213 GERMANY
CITIBANK PRIVATKUNDEN AG & CO. KGAA	PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019-6064
CORRE OPPORTUNITIES II MASTER FUND, LP	TRANSFEROR: CORRE OPPORTUNITIES QUALIFIED MASTER FUND, LP, ATTN: CLAIMS
	PROCESSING (BANKRUPTCY), 1370 AVENUE OF THE AMERICAS, 29TH FLOOR, NEW YORK, NY 10019
CORRE OPPORTUNITIES II MASTER FUND, LP	TRANSFEROR: CORRE OPPORTUNITIES QUALIFIED MASTER FUND, LP, ATTN: CLAIMS
	PROCESSING (BANKRUPTCY), 1370 AVENUE OF THE AMERICAS, 29TH FLOOR, NEW YORK, NY 10019
CORRE OPPORTUNITIES QUALIFIED MASTER FUND, LP	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC., ATTN: CLAIMS PROCESSING (BANKRUPTCY), 1370 AVENUE OF AMERICAS, 29TH FLOOR, NEW YORK, NY 10019
CORRE OPPORTUNITIES QUALIFIED MASTER	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS, INC., ATTN: CLAIMS PROCESSING
FUND, LP	(BANKRUPTCY), 1370 AVENUE OF AMERICAS, 29TH FLOOR, NEW YORK, NY 10019

Claim Name	Address Information
CREDIT SUISSE SECURITIES (USA) LLC	TRANSFEROR: GN3 SIP L.P., ATTN: CHRISTOPHER CAMPBELL, ONE MADISON AVENUE, NEW YORK, NY 10010
CREDIT SUISSE SECURITIES (USA) LLC	DAVID HOYT, ANDREWS KURTH LLP, 450 LEXINGTON AVENUE, NEW YORK, NY 10017
CREDIT SUISSE SECURITIES (USA) LLC	TRANSFEROR: GOLDENTREE ENTRUST MASTER FUND SPC O/B/O AND ACCOUNT FOR, SEGREGATED PORTFOLIO I, ATTN: CHRISTOPHER CAMPBELL, ONE MADISON AVENUE, NEW YORK, NY 10010
CREDIT SUISSE SECURITIES (USA) LLC	DAVID HOYT, ANDREWS KURTH LLP, 450 LEXINGTON AVENUE, NEW YORK, NY 10017
CREDIT SUISSE SECURITIES (USA) LLC	TRANSFEROR: GOLDENTREE MASTER FUND, LTD., ATTN: CHRISTOPHER CAMPBELL, ONE MADISON AVENUE, NEW YORK, NY 10010
CREDIT SUISSE SECURITIES (USA) LLC	DAVID HOYT, ANDREWS KURTH LLP, 450 LEXINGTON AVENUE, NEW YORK, NY 10017
CREDIT SUISSE SECURITIES (USA) LLC	TRANSFEROR: GOLDENTREE MASTER FUND II, LTD., ATTN: CHRISTOPHER CAMPBELL, ONE MADISON AVENUE, NEW YORK, NY 10010
CREDIT SUISSE SECURITIES (USA) LLC	DAVID HOYT, ANDREWS KURTH LLP, 450 LEXINGTON AVENUE, NEW YORK, NY 10017
CREDIT SUISSE SECURITIES (USA) LLC	TRANSFEROR: FAIRWAY FUND LIMITED, ATTENTION: ASHWINEE SAWH, ONE MADISON AVENUE, 2ND FLOOR, NEW YORK, NY 10010
CREDIT SUISSE SECURITIES (USA) LLC	TRANSFEROR: FORE MULTI STRATEGY MASTER FUND, LTD., ATTENTION: ASHWINEE SAWH, ONE MADISON AVENUE, 2ND FLOOR, NEW YORK, NY 10010
CREDIT SUISSE SECURITIESUSA, LLC	ATTN: PAUL GILMORE, ELEVEN MADISON AVENUE, NEW YORK, NY 10010
CREDIT SUISSE SECURITIESUSA, LLC	CRAVATH, SWAINE & MOORE LLP, ATTN: RICHARD LEVIN, WORLDWIDE PLAZA, 825 EIGHTH AVENUE, NEW YORK, NY 10019
CREDIT SUISSE SECURITIESUSA, LLC	TRANSFEROR: CREDIT SUISSE, ATTN: TERRI LABARBERA, ELEVEN MADISON AVENUE, NEW YORK, NY 10010
CREDIT SUISSE SECURITIESUSA, LLC	RICHARD LEVIN, ESQ., CRAVATH, SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY 10019
CREDIT SUISSE SECURITIESUSA, LLC	TRANSFEROR: VALEUR PTE LIMITED, ATTN: DOUGLAS DIBELLA, ELEVEN MADISON AVE 5TH FL, NEW YORK, NY 10010
CREDIT SUISSE SECURITIESUSA, LLC	TRANSFEROR: HSBC PRIVATE BANK (UK) LIMITED, ATTN: TERRI LABARBERA, 1 MADISON AVE, NEW YORK, NY 10010
CREDIT SUISSE SECURITIESUSA, LLC	TRANSFEROR: HSBC PRIVATE BANK (UK) LIMITED, ATTN: DOUGLAS DIBELLA, 1 MADISON AVENUE, 5TH FLOOR, NEW YORK, NY 10010
CREDIT SUISSE SECURITIESUSA, LLC	TRANSFEROR: CREDIT SUISSE (GUERNSEY) LIMITED, ATTN: TERRI LABARBERA, 1 MADISON AVENUE, NEW YORK, NY 10010
CREDIT SUISSE SECURITIESUSA, LLC	RICHARD LEVIN, ESQ., CRAVATH, SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY 10019
CREDIT SUISSE SECURITIESUSA, LLC	RICHARD LEVIN, ESQ., CRAVATH, SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY 10019
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: PIMCO DEVELOPING LOCAL MARKETS PORTFOLIO 718, C/O DEUTSCHE BANK SECURITIES INC., ATTN: MATT WEINSTEIN, 60 WALL ST., 3RD FLOOR, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: PIMCO DEVELOPING LOCAL MARKETS PORTFOLIO 718, C/O DEUTSCHE BANK SECURITIES INC., ATTN: MATT WEINSTEIN, 60 WALL ST., 3RD FLOOR, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: BANK HAPOALIM (SWITZERLAND), LTD., ATTN: MICHAEL SUTTON, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
ILLIQUIDX SECURITIES LTD	TRANSFEROR: VIRTUALEXPO,, ATTN: MR. CELESTINO AMORE, 80 FLEET STREET, LONDON EC4Y 1EL UNITED KINGDOM
PIMCO DEVELOPING LOCAL MARKETS PORTFOLIO 718	PRIVATE ACCOUNT PORTFOLIO SERIES, ATTN: STEPHEN KING, 650 NEWPORT CENTER DRIVE, NEWPORT BEACH, CA 92660
PIMCO DEVELOPING LOCAL MARKETS	PACIFIC INVESTMENT MANAGEMENT COMPANY LLC, ATTN: KEVIN BROADWATER, 650 NEWPORT
PORTFOLIO 718	CENTER DRIVE, NEWPORT BEACH, CA 92660
PIMCO DEVELOPING LOCAL MARKETS	PRIVATE ACCOUNT PORTFOLIO SERIES, ATTN: STEPHEN KING, 650 NEWPORT CENTER DRIVE, NEWPORT BEACH, CA 92660

08-13555-mg Doc 52660 LEHMAN BROTHERS HOLDINGS INC. Filed 04/29/16 Entered 04/29/16 17:31:05 Main Document SERVICE LIST

Claim Name	Address Information
PORTFOLIO 718	CENTER DRIVE, SUITE 100, NEWPORT BEACH, CA 92660
POMASKA, KLAUS	TRANSFEROR: CITIBANK PRIVATKUNDEN AG & CO. KGAA, AM LOHBACH 28, MULHEIM 45470 GERMANY
UBS AG	BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, OQ9C/O5GC, P.O. BOX, ZURICH 8098 SWITZERLAND
VIRTUALEXPO,	MR. VINCENT GERARD, V.P., BATIMENT G, 7 AVENUE ANDRE ROUSSIN, MARSEILLE 13016 FRANCE

Total Creditor Count 53